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Commodity Futures Trading Commission (CFTC) Extends Relief from Oral Communication Recording Requirements

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The Commodity Futures Trading Commission's Division of Swap Dealer and Intermediary Oversight and the Division of Market Oversight issued No-Action Letter No. 13-77, which extends the oral communications recording compliance date for commodity trading advisors (CTAs) that are members of a swap execution facility (SEF). A CTA that is a member of an SEF will now have until May 1, 2014 to comply with the requirement to record oral communications under CFTC Regulation 1.35(a). The requirement would otherwise have been effective December 21, 2013.

CFTC Letter No. 13-77 is available [here](#).

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