

CFTC Staff Issues Continuation of Certain No-Action Relief to Market Participants in Response to COVID-19

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On April 14, the Commodity Futures Trading Commission's Market Participants Division (MPD) and Division of Market Oversight (DMO) jointly issued CFTC Staff Letter 21-10 to extend, for a limited time, parts of the temporary no-action relief granted in response to the COVID-19 pandemic, which expired on April 15.

CFTC Staff Letter No. 21-10 extends until September 30 the following relief:

- **Relief from Introducing Broker (IB) Registration and Location Requirements for Floor Brokers (FBs).** MPD is providing a limited continuation of relief from IB registration and location requirements for FBs that normally operate on an exchange's trading floor and/or other designated premises from which customer orders may be placed.
- **Relief for Designated Contract Markets (DCMs).** DMO is providing a limited continuation of targeted no-action relief for DCMs from certain CFTC regulations related to real-time market monitoring requirements as a result of the displacement of FBs from the trading floor.

[CFTC Press Release](#)

[CFTC COVID-19 Pandemic Response](#)

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National Law Review, Volume XI, Number 106

Source URL: <https://natlawreview.com/article/cftc-staff-issues-continuation-certain-no-action-relief-to-market-participants>